

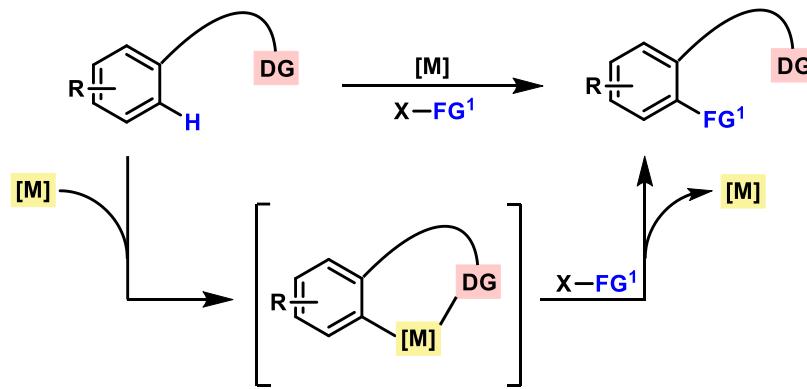
# The Catellani reaction – development and application

Lena Emmes

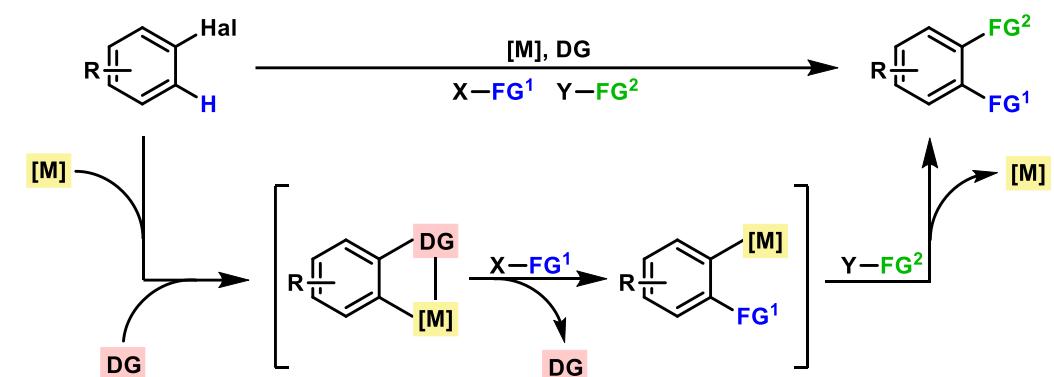
Konstanz, 10.08.2022

# C-H functionalization and the need for the Catellani reaction

## Directing group mediated C-H activation



## The Catellani reaction

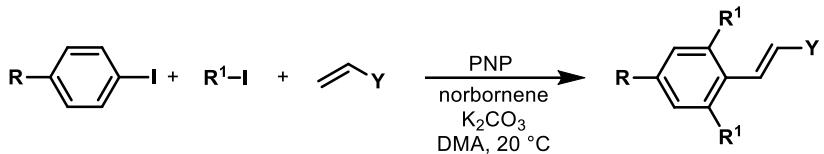


- *ortho*- and *meta*-C-H functionalization of arenes reported
  - preinstallation and removal of directing groups necessary
  - site for installation of DG might be missing
- *in situ* generation of DG with subsequent departure desirable

- functionalization of *ortho*- and *ipso*-position in one transformation
- trapping with a wide variety of terminating agents possible

# The Catellani reaction: initial studies

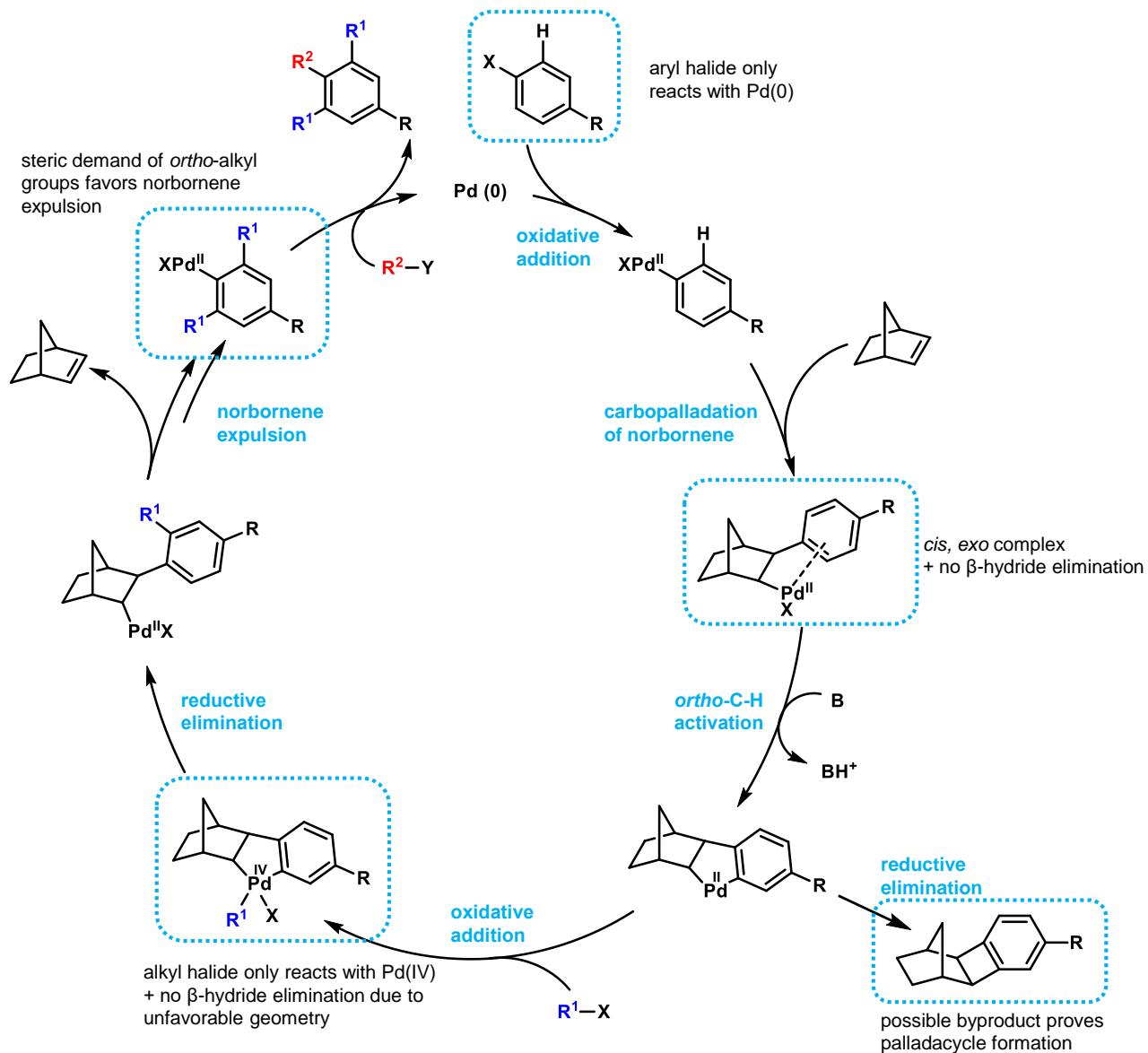
## Initial scope



entry	R	R'	Y	conversion	selectivity
1	H	n-But	CO <sub>2</sub> Me	100	93
2	H	n-But	CO <sub>2</sub> Me	86	95
3	H	CH <sub>2</sub> CH <sub>2</sub> Ph	CO <sub>2</sub> Me	33	95
4	Me	n-But	CO <sub>2</sub> Me	44	93
5	CO <sub>2</sub> Me	n-But	CO <sub>2</sub> Me	82	89
6	H	n-But	CO <sub>2</sub> Me	42	90
7	H	n-But	Ph	31	91
8	H	n-But	n-Hex	43	90

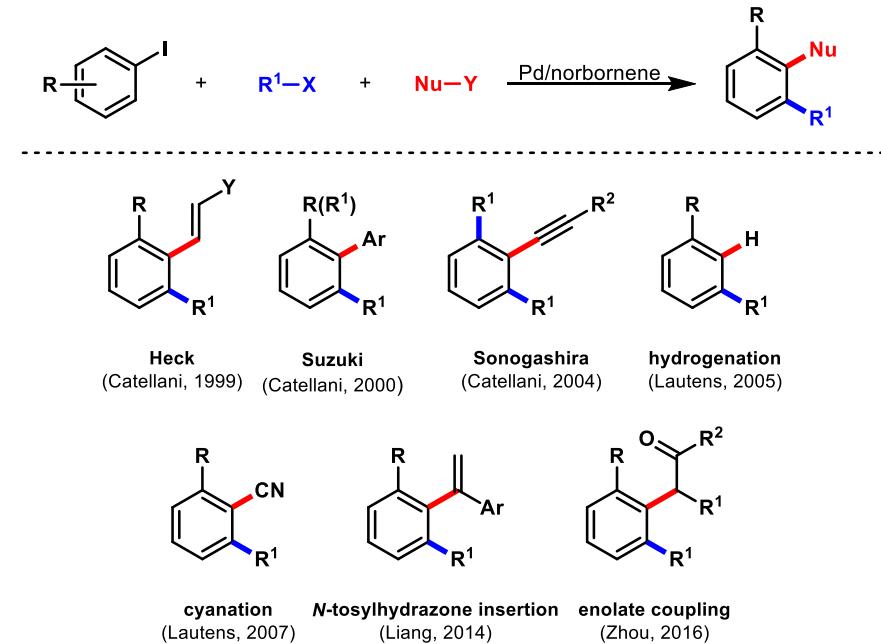
## Selectivity in the catalytic cycle

- competing reactivity of alkyl halide and aryl halide
- selectivity relies on different reactivities of Pd(0), Pd (II) and Pd(IV)

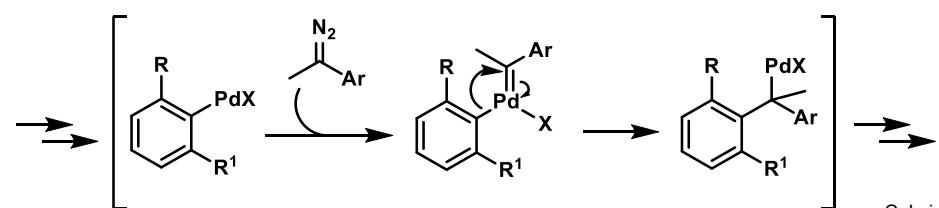


# Aromatic ortho-alkylation

## General overview



## Mechanistic reminder

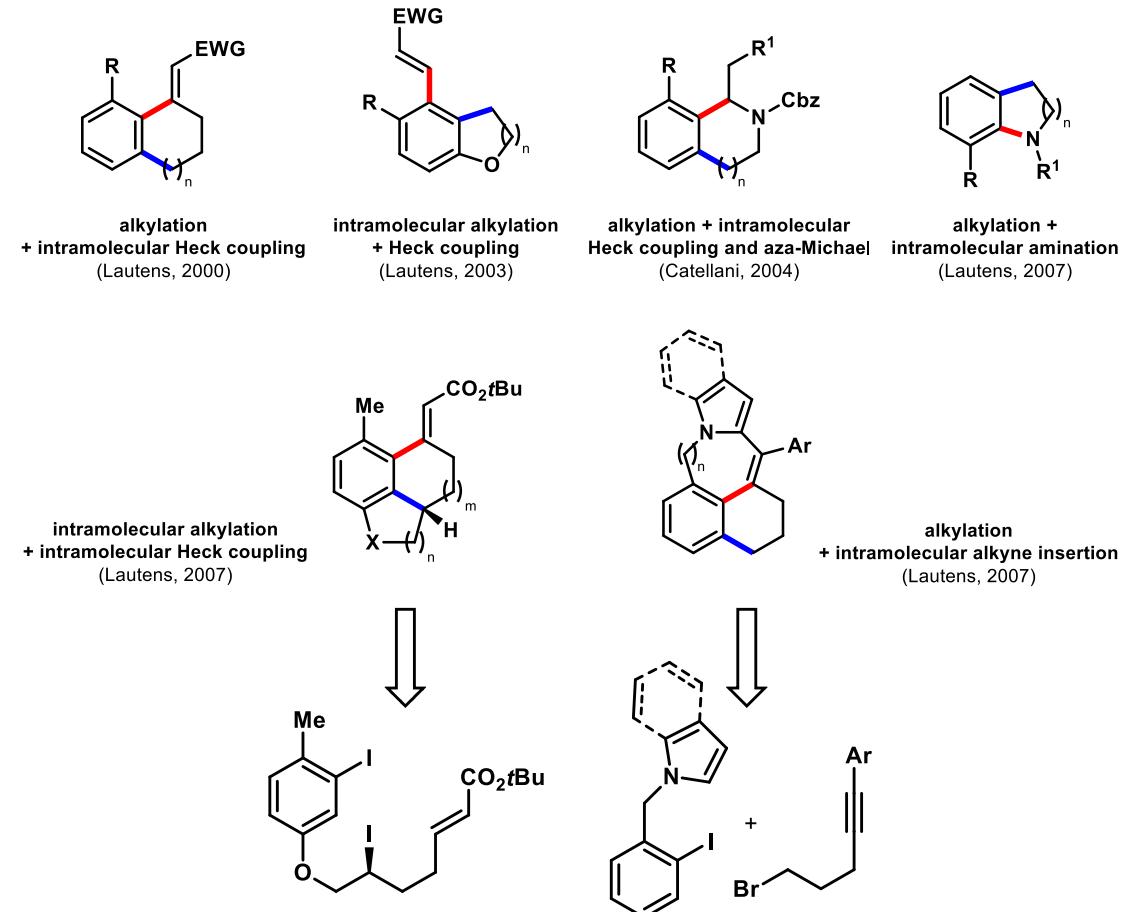


M. Catellani, F. Cugini, *Tetrahedron* **1999**, *55*, 6595-6602.

M. Catellani, E. Motti, M. Minari, *Chem. Commun.* **2000**, 157-158.

E. Motti, M. Rossetti, G. Bocelli, M. Catellani, *J. Organomet. Chem.* **2004**, *689*, 3741-3749.

## Some more complex examples



C. Lei, J. Cao, J. Zhou, *Org. Lett.* **2016**, *18*, 6120-6123.

P.-X. Zhou *et al.*, *Chem.-Eur. J.* **2014**, *20*, 6745-6751.

M. Lautens, S. Piguel, *Angew. Chem. Int. Edit.* **2000**, *39*, 1045-1046.

S. Pache, M. Lautens, *Org. Lett.* **2003**, *5*, 4827-4830.

R. Ferraccioli, D. Carenzi, M. Catellani, *Tetrahedron Lett.* **2004**, *45*, 6903-6907.

B. Mariampillai, J. Alliot, M. Li, M. Lautens, *J. Am. Chem. Soc.* **2007**, *129*, 15372-15379.

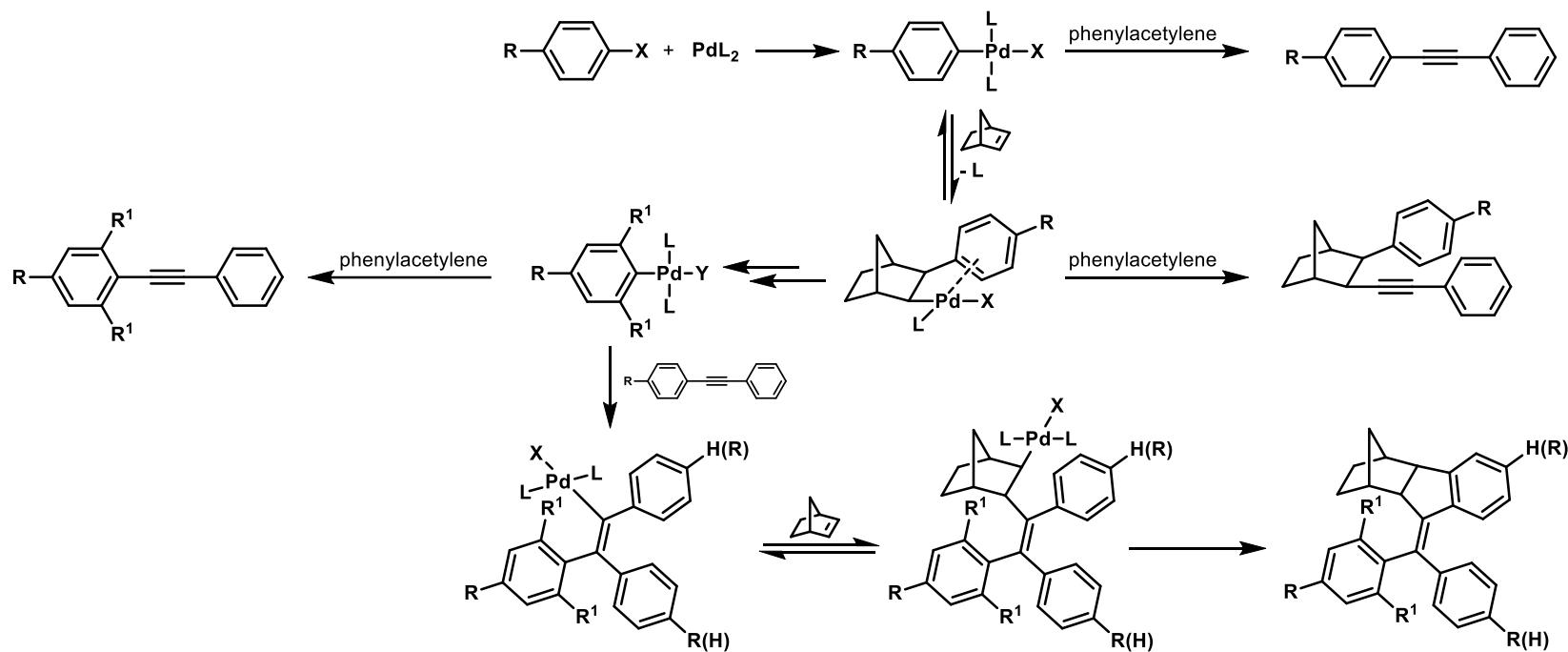
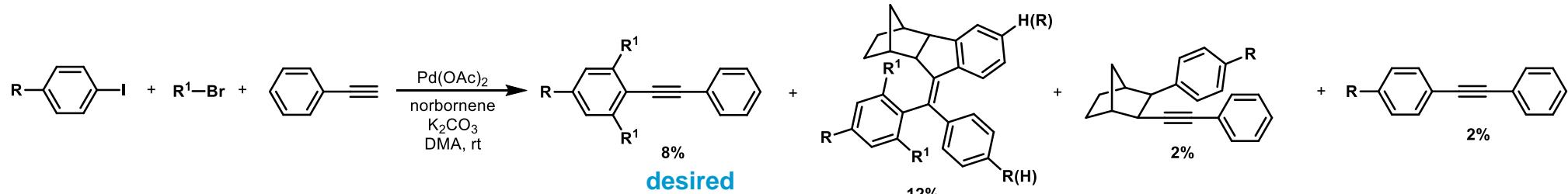
T. Wilhelm, M. Lautens, *Org. Lett.* **2005**, *7*, 4053-4056.

P. Thansandote, M. Raemy, A. Rudolph, M. Lautens, *Org. Lett.* **2007**, *9*, 5255-5258.

A. Rudolph, N. Rackeimann, M. Lautens, *Angew. Chem. Int. Edit.* **2007**, *46*, 1485-1488.

V. Aureggi, M. Davoust, K. M. Gericke, M. Lautens, *Synlett* **2009**, *2009*, 1004-1008.

## Selectivity problems in the Sonogashira termination approach

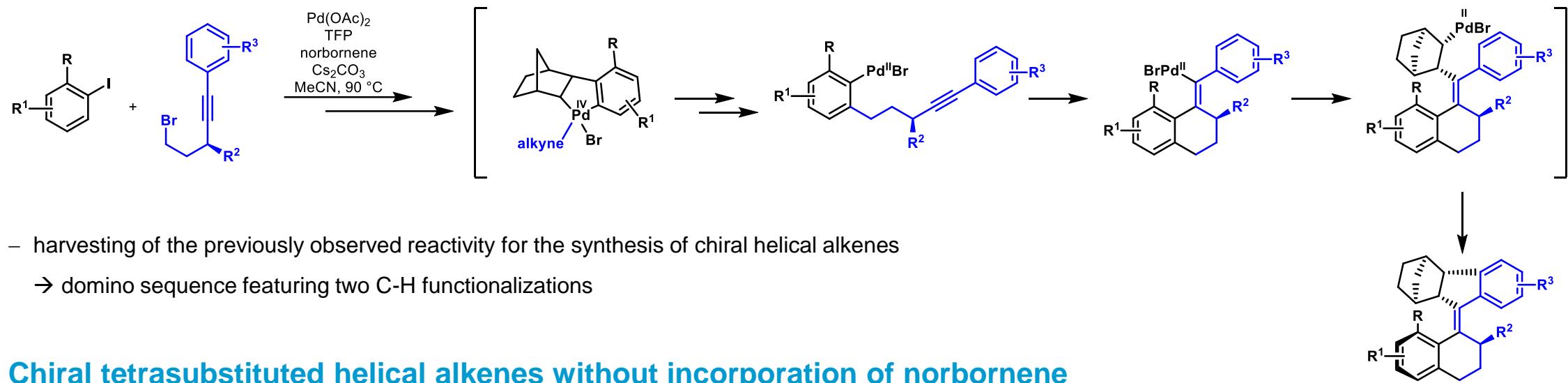


prevention of byproduct formation by:

- 1) employing KOAc instead of K\_2CO\_3
- 2) large excess of alkyl bromide + gradual addition
- 3) run reaction at rt
- 4) gradual addition of phenylacetylene

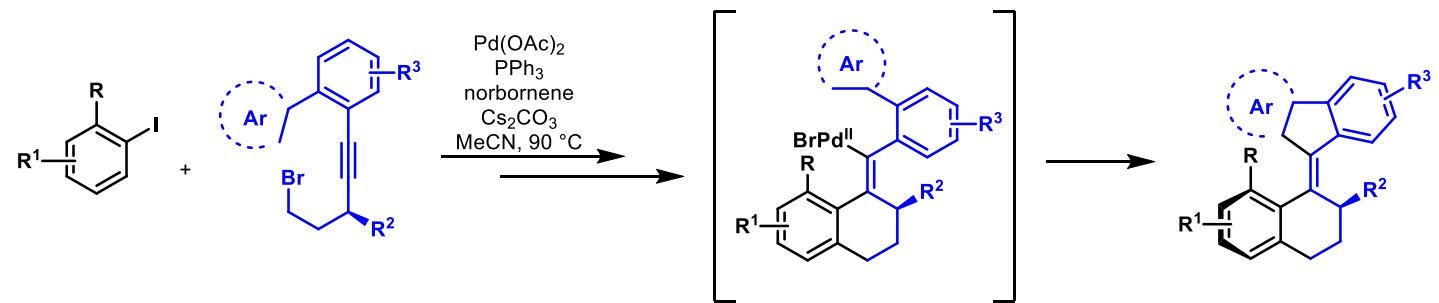
# Chiral helical alkenes

## Chiral tetrasubstituted helical alkenes



- harvesting of the previously observed reactivity for the synthesis of chiral helical alkenes  
→ domino sequence featuring two C-H functionalizations

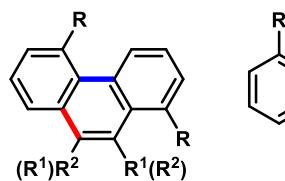
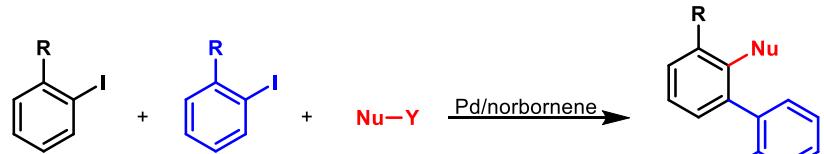
## Chiral tetrasubstituted helical alkenes without incorporation of norbornene



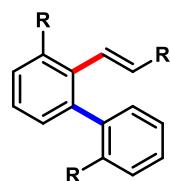
K. M. Gericke, D. I. Chai, N. Bieler, M. Lautens, *Angew. Chem. Int. Ed.* **2009**, *48*, 1447-1451.  
H. Liu, M. El-Salfiti, D. I. Chai, J. Auffret, M. Lautens, *Org. Lett.* **2012**, *14*, 3648-3651.

# Aromatic ortho-arylation and the *ortho*-effect

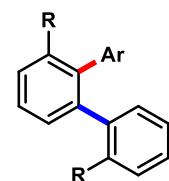
## Aromatic ortho-arylation



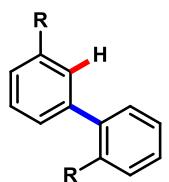
triple bond insertion  
(Catellani, 2001)



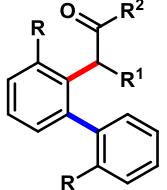
Heck  
(Catellani, 2003)



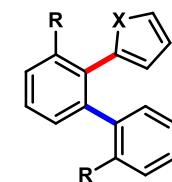
Suzuki  
(Catellani, 2003)



hydrogenation  
(Catellani, 2005)

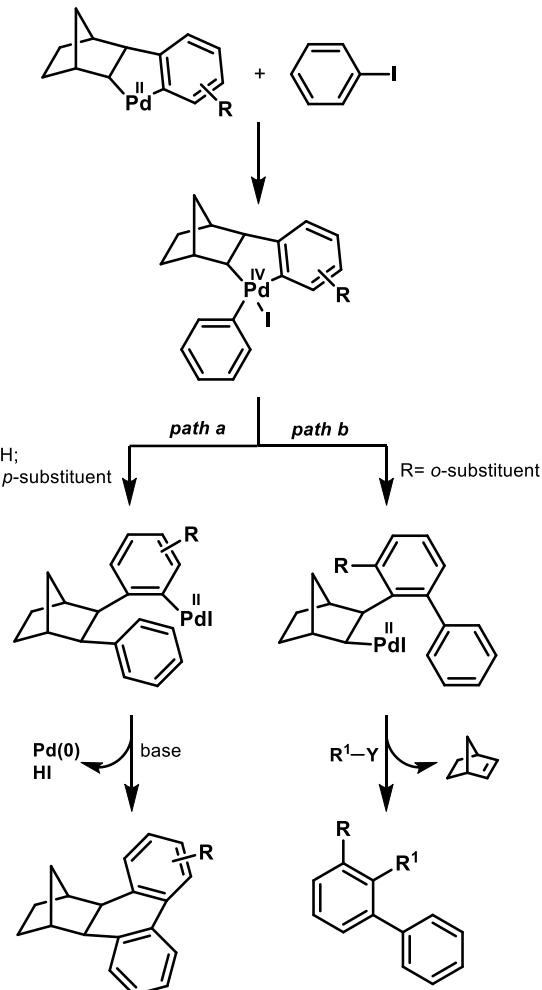


enolate coupling  
(Catellani, 2009)



heteroaryl coupling  
(Catellani, 2009)

## ortho-Effect



### path a

- strong tendency of  $sp^2-sp^3$  C-C bond formation
- migration of aryl occurs onto norbornyl site of palladacycle

### path b

- $sp^2-sp^2$  C-C bond formation upon introduction of *ortho*-substituent

M. Catellani, E. Motti, *New J. Chem.* **1998**, 22, 759-761.

M. Catellani, E. Motti, S. Baratta, *Org. Lett.* **2001**, 3, 3611-3614.

E. Motti, G. Ippomei, S. Deledda, M. Catellani, *Synthesis* **2003**, 2003, 2671-2678.

E. Motti, A. Mignozzi, M. Catellani, *J. Mol. Catal. A Chem.* **2003**, 204-205, 115-124.

S. Deledda, E. Motti, M. Catellani, *Can. J. Chem.* **2005**, 83, 741-747.

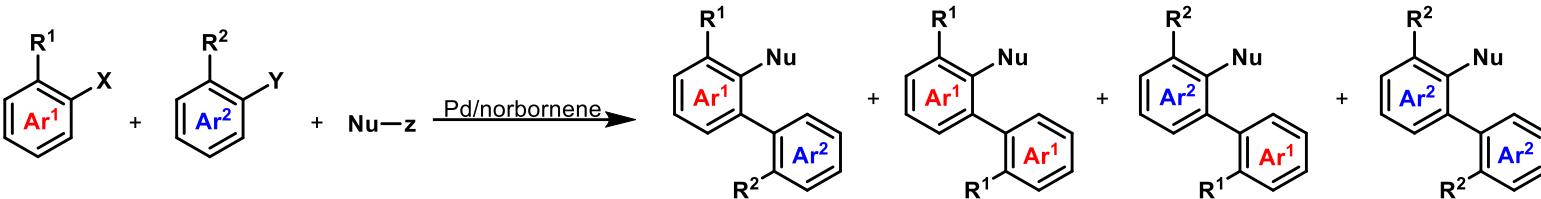
G. Maestri, N. Della Ca', M. Catellani, *Chem. Commun.* **2009**, 4892-4894.

N. Della Ca', G. Maestri, M. Catellani, *Chem.-Eur. J.* **2009**, 15, 7850-7853.

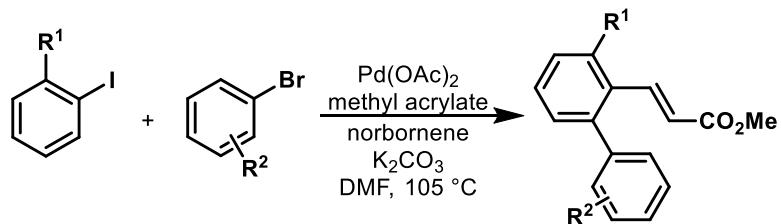
# Aromatic *ortho*-arylation: cross-coupling of aryl halides

## Chemoselectivity issue

- 1) tuning of electronic properties of aryl halides to distinguish between Pd(0) and Pd(II)
- 2) *ortho*-coordination-induced chemoselective addition to Pd(II)

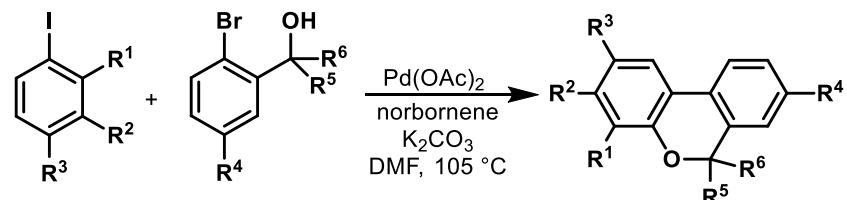


### 1) Adjustment of electronic properties (Catellani, 2004)



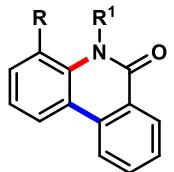
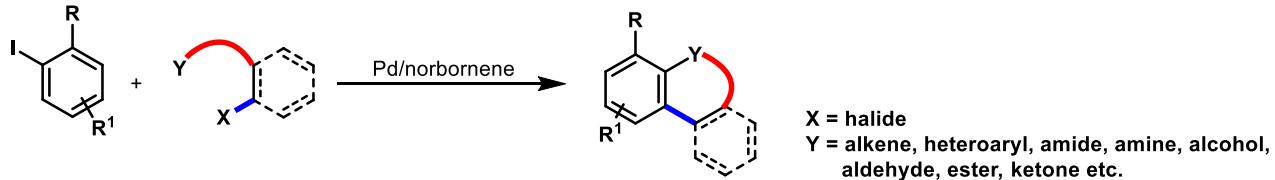
entry	R <sup>1</sup>	R <sup>2</sup>	yield	entry	R <sup>1</sup>	R <sup>2</sup>	yield
1	Me	<i>o</i> -CO <sub>2</sub> Me	80	8	Me	<i>p</i> -CO <sub>2</sub> Me	71
2	iBu	<i>o</i> -CO <sub>2</sub> Me	37	9	Me	<i>o</i> -CN	13
3	OMe	<i>o</i> -CO <sub>2</sub> Me	83	10	Me	<i>m</i> -CN	62
4	NMe <sub>2</sub>	<i>o</i> -CO <sub>2</sub> Me	82	11	Me	<i>p</i> -CN	79
5	Ph	<i>o</i> -CO <sub>2</sub> Me	73	12	Me	<i>m</i> -CF <sub>3</sub>	71
6	Me	<i>m</i> -CO <sub>2</sub> Me	37	13	Me	<i>p</i> -CF <sub>3</sub>	80

### 2) *ortho*-Coordination induced selectivity (Catellani, 2012)

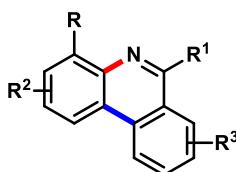


entry	R <sup>1</sup>	R <sup>2</sup>	R <sup>3</sup>	R <sup>4</sup>	R <sup>5</sup>	R <sup>6</sup>	yield
1	Me	H	H	H	Me	Me	79
2	iPr	H	H	H	Me	Me	75
3	(CH=CH) <sub>2</sub>		H	H	Me	Me	66
4	nPr	H	H	H	Et	Et	98
5	OMe	H	H	H	Et	Et	90
6	(CH=CH) <sub>2</sub>	H	OMe	Et	Et	Et	98

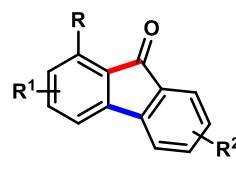
# Polycyclic compounds from bifunctional reagents



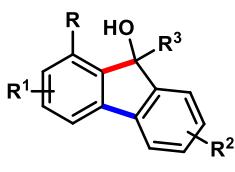
*ortho*-arylation +  
N-aryl coupling  
(Catellani, 2004)



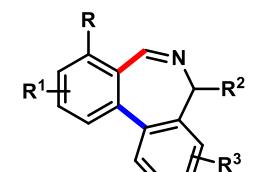
*ortho*-arylation +  
N-aryl coupling  
(Lautens, 2009)  
(Malacria, 2010)



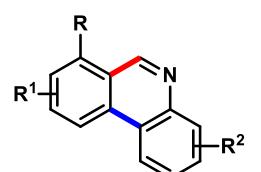
*ortho*-arylation +  
aldehyde addition  
(Lautens, 2009)



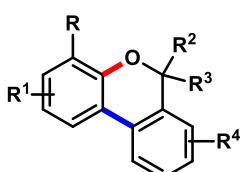
*ortho*-arylation +  
ketone addition  
(Lautens, 2009)



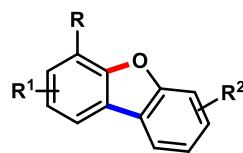
*ortho*-arylation +  
Heck + aza-Michael  
(Malacria, 2014)



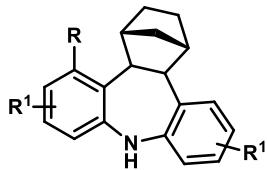
*ortho*-arylation +  
Heck + aza-Michael +  
"retro-Mannich"  
(Catellani, 2010)



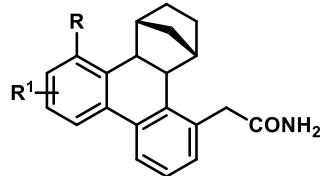
*ortho*-arylation +  
O-aryl coupling  
(Catellani, 2012)



*ortho*-arylation +  
O-aryl coupling  
(Catellani, 2013)



*ortho*-arylation +  
norbornene insertion  
+ C-N coupling  
(Catellani, 2011)



*ortho*-arylation +  
norbornene insertion  
(Malacria, 2011)

R. Ferraccioli, D. Carenzi, O. Rombolà, M. Catellani, *Org. Lett.* **2004**, *6*, 4759-4762.

G. Maestri, M.-H. Larraufie, É. Derat, C. Ollivier, L. Fensterbank, E. Lacôte, M. Malacria, *Org. Lett.* **2010**, *12*, 5692-5695.

D. A. Candito, M. Lautens, *Angew. Chem. Int. Edit.* **2009**, *48*, 6713-6716.

Y.-B. Zhao, B. Mariampillai, D. A. Candito, B. Laleu, M. Li, M. Lautens, *Angew. Chem. Int. Edit.* **2009**, *48*, 1849-1852.

V. Narbonne, P. Retailleau, G. Maestri, M. Malacria, *Org. Lett.* **2014**, *16*, 628-631.

E. Motti, N. Della Ca', D. Xu, A. Piersimoni, E. Bedogni, Z.-M. Zhou, M. Catellani, *Org. Lett.* **2012**, *14*, 5792-5795.

N. Della Ca', G. Maestri, M. Malacria, E. Derat, M. Catellani, *Angew. Chem. Int. Edit.* **2011**, *50*, 12257-12261.

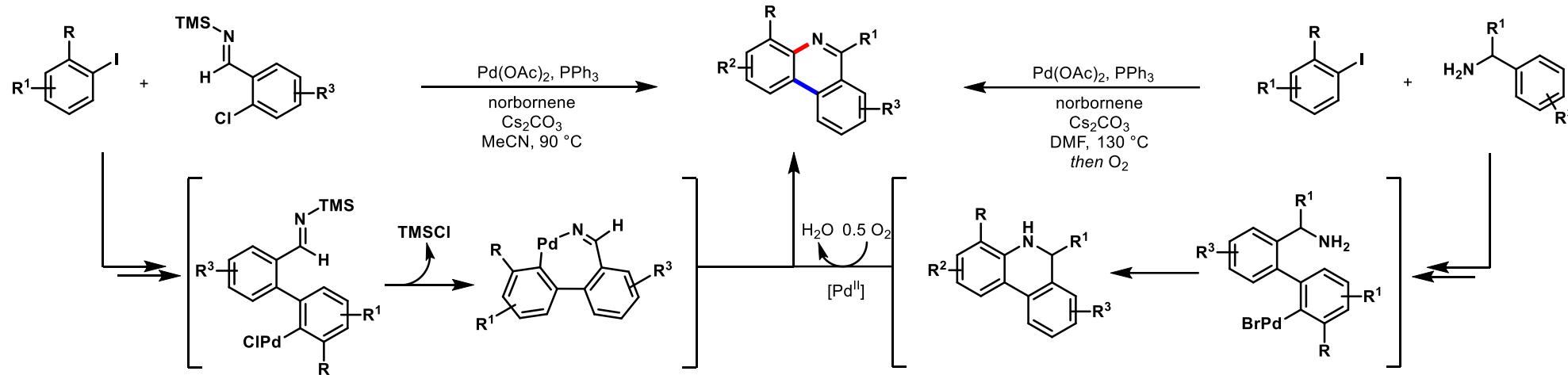
E. Motti, N. Della Ca, D. Xu, S. Armani, B. M. Aresta, M. Catellani, *Tetrahedron* **2013**, *69*, 4421-4428.

N. D. Ca', E. Motti, A. Mega, M. Catellani, *Advanced Synthesis & Catalysis* **2010**, *352*, 1451-1454.

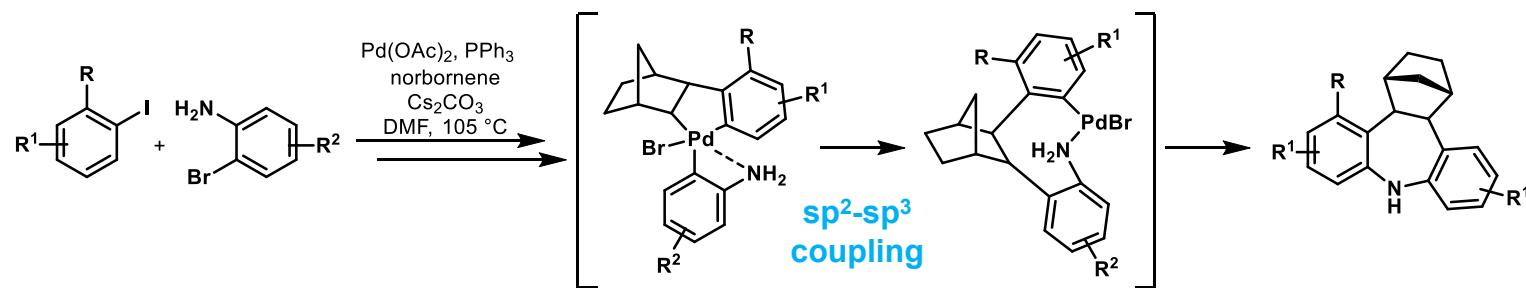
M.-H. Larraufie, G. Maestri, A. Beaume, É. Derat, C. Ollivier, L. Fensterbank, C. Courillon, E. Lacôte, M. Catellani, M. Malacria, *Angew. Chem. Int. Edit.* **2011**, *50*, 12253-12256.

# Polycyclic compounds – a closer look

## Phenanthridines (Lautens, 2009/Malacria, 2010)



## Dibenzoazepines (Catellani, 2011)



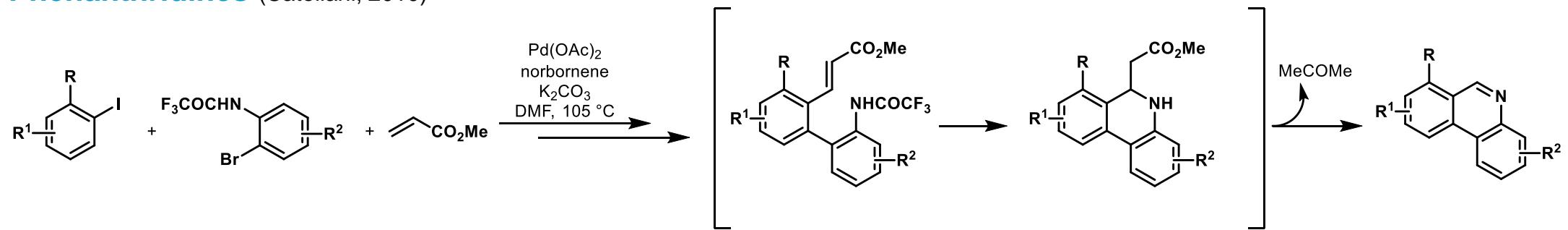
G. Maestri, M.-H. Larraufie, É. Derat, C. Ollivier, L. Fensterbank, E. Lacôte, M. Malacria, *Org. Lett.* **2010**, *12*, 5692-5695.

D. A. Candito, M. Lautens, *Angew. Chem. Int. Edit.* **2009**, *48*, 6713-6716.

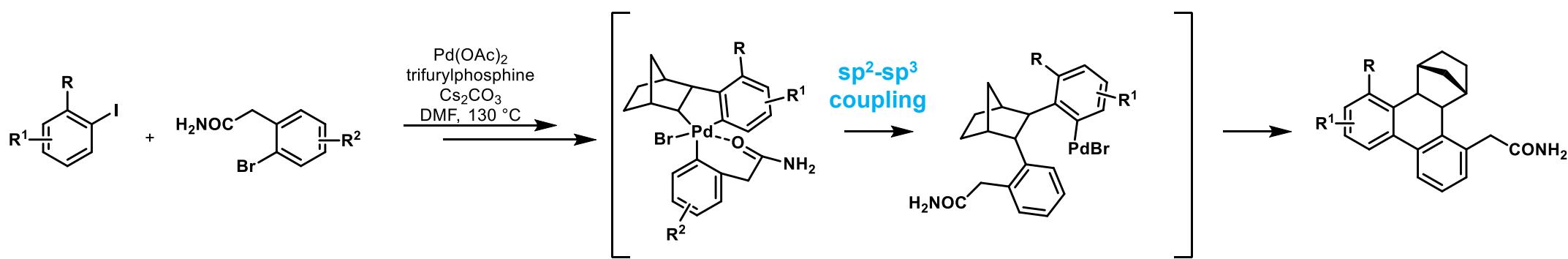
N. Della Ca', G. Maestri, M. Malacria, E. Derat, M. Catellani, *Angew. Chem. Int. Edit.* **2011**, *50*, 12257-12261.

# Polycyclic compounds – a closer look

## Phenanthridines (Catellani, 2010)

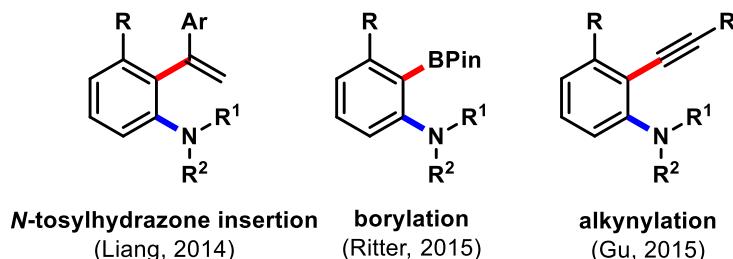
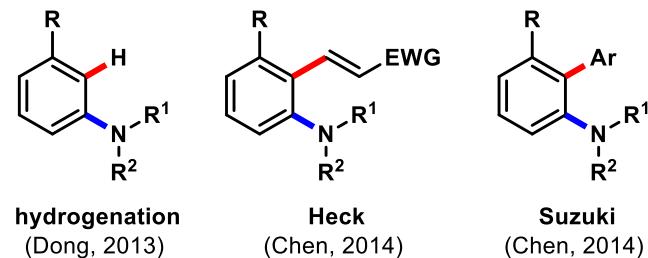
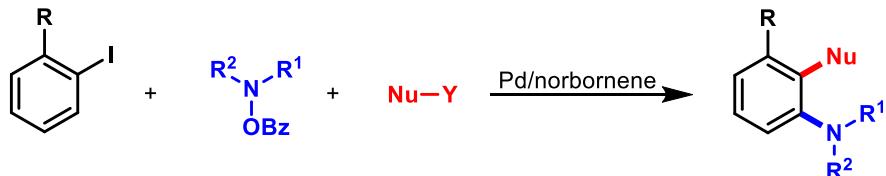


## Dihydrophenanthrene (Malacria, 2011)

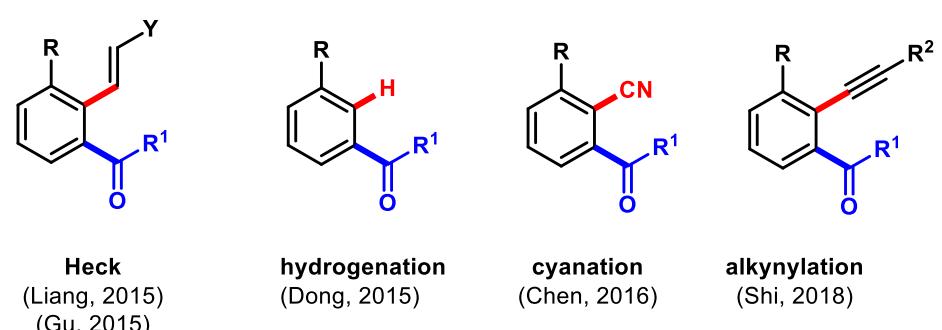
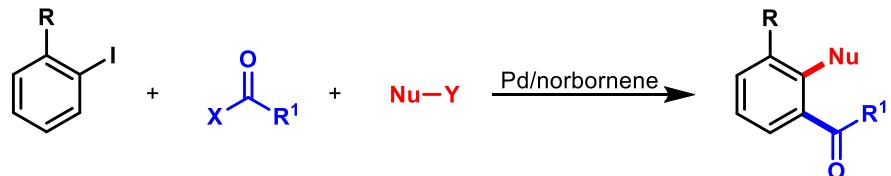


# Aromatic *ortho*-amination/-acylation

## *ortho*-Amination



## *ortho*-acylation

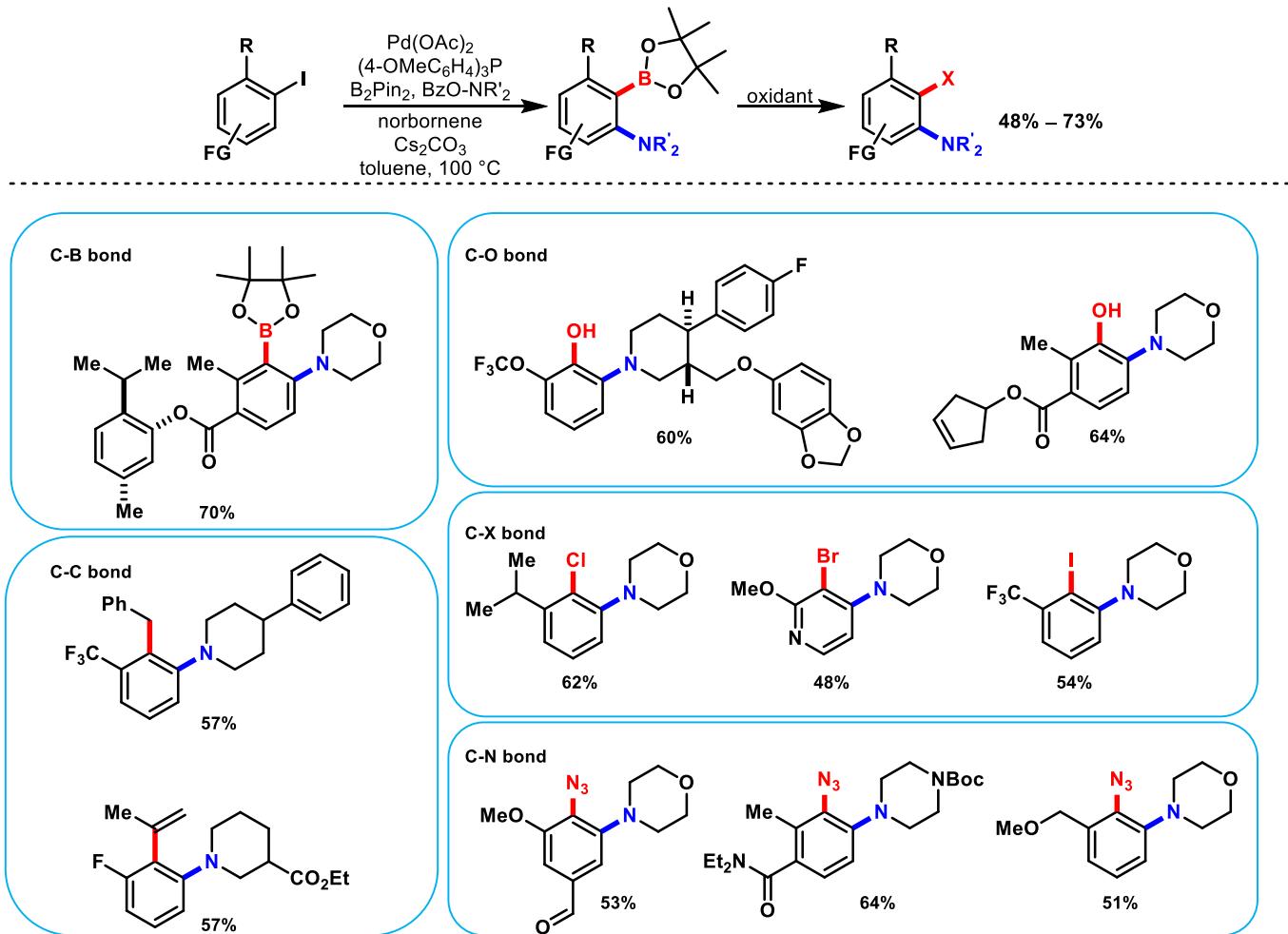


E. J. Yoo, S. Ma, T.-S. Mei, K. S. L. Chan, J.-Q. Yu, *J. Am. Chem. Soc.* **2011**, *133*, 7652–7655.  
Z.-Y. Chen, C.-Q. Ye, H. Zhu, X.-P. Zeng, J.-J. Yuan, *Chem.-Eur. J.* **2014**, *20*, 4237–4241.  
C. Ye, H. Zhu, Z. Chen, *J. Org. Chem.* **2014**, *79*, 8900–8905.  
F. Sun, Z. Gu, *Org. Lett.* **2015**, *17*, 2222–2225.  
H. Shi, D. J. Babinski, T. Ritter, *J. Am. Chem. Soc.* **2015**, *137*, 3775–3778

Zhou, P.-X.; Ye, Y.-Y.; Liu, C.; Zhao, L.-B.; Hou, J.-Y.; Chen, D.-Q.; Tang, Q.; Wang, A.-Q.; Zhang, J.-Y.; Huang, Q.-X.; Xu, P.-F.; Liang, Y.-M., *ACS Catal.* **2015**, *5*, 4927–4931.  
Dong, Z.; Wang, J.; Ren, Z.; Dong, G., *Angew. Chem., Int. Ed.* **2015**, *54*, 12664–12668.  
Huang, Y.; Zhu, R.; Zhao, K.; Gu, Z., *Angew. Chem., Int. Ed.* **2015**, *54*, 12669–12672.  
Pan, S.; Wu, F.; Yu, R.; Chen, W., *J. Org. Chem.* **2016**, *81*, 1558–1564.  
Yu, S.-P.; Zhong, Y.; Gu, T.; Wu, W.-Y.; Fan, T.-Y.; Li, N.-G.; Shi, Z.-H.; Tang, Y.-P.; Duan, J.-A., *Tetrahedron* **2018**, *74*, 5942–5949

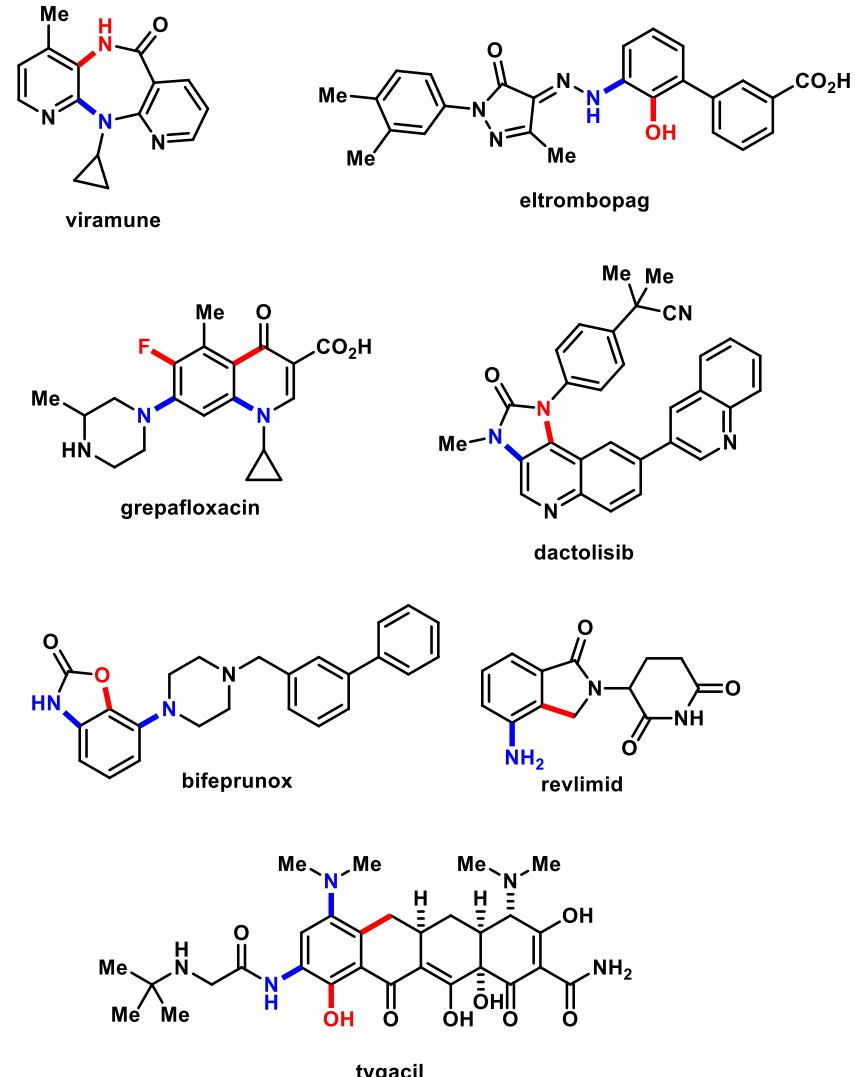
# Aromatic ortho-amination + hetero-bond formation

## General scope



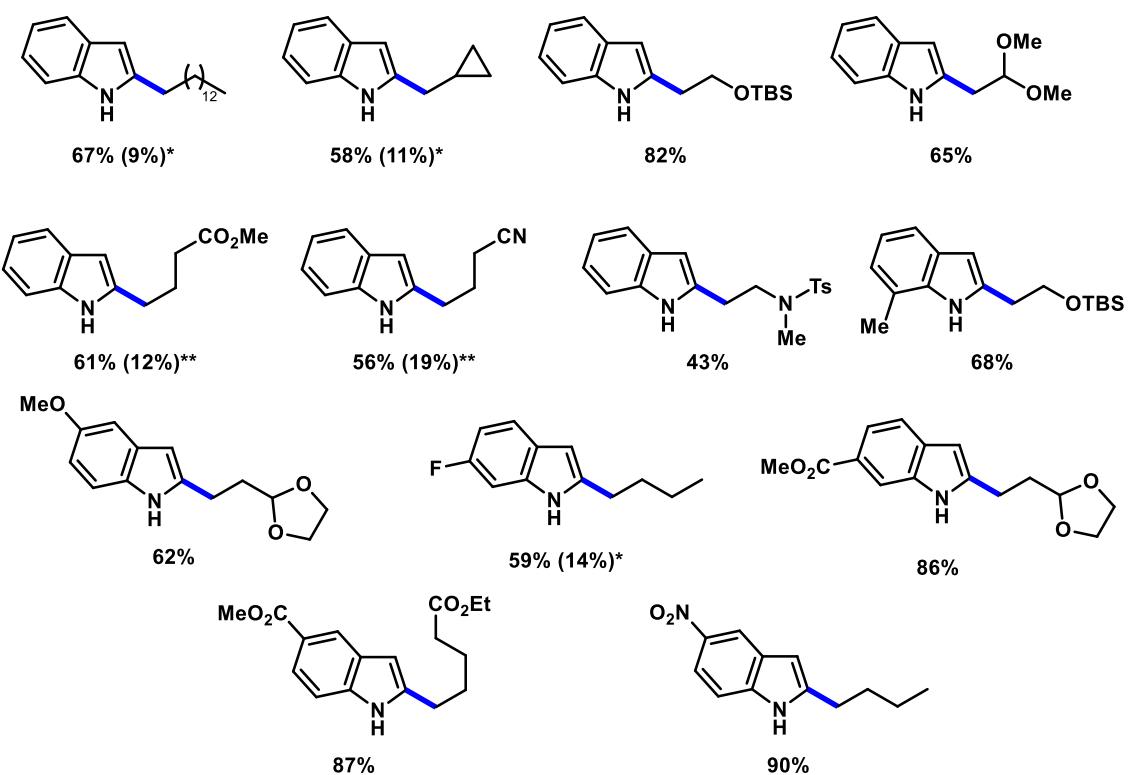
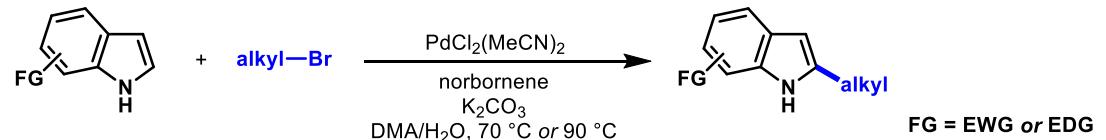
H. Shi, D. J. Babinski, T. Ritter, *J. Am. Chem. Soc.* 2015, 137, 3775-3778.

## Reoccurring motif in pharmaceuticals



# C2-Alkylation of indole and pyrrole derivatives

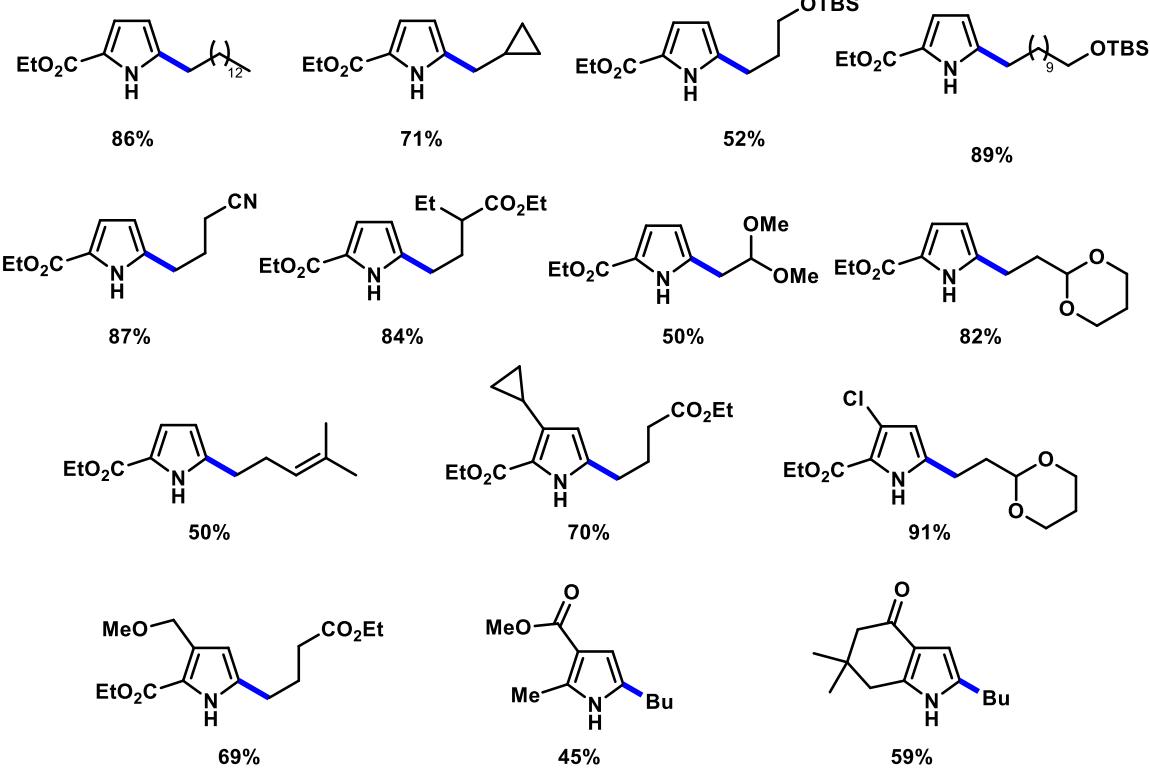
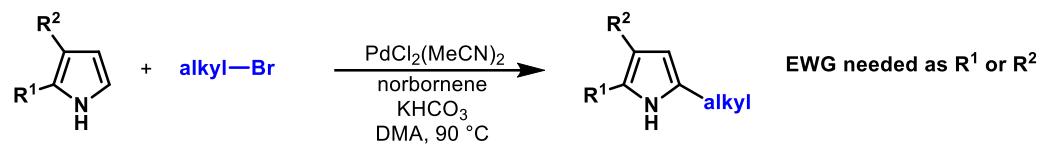
## Alkylation of indoles



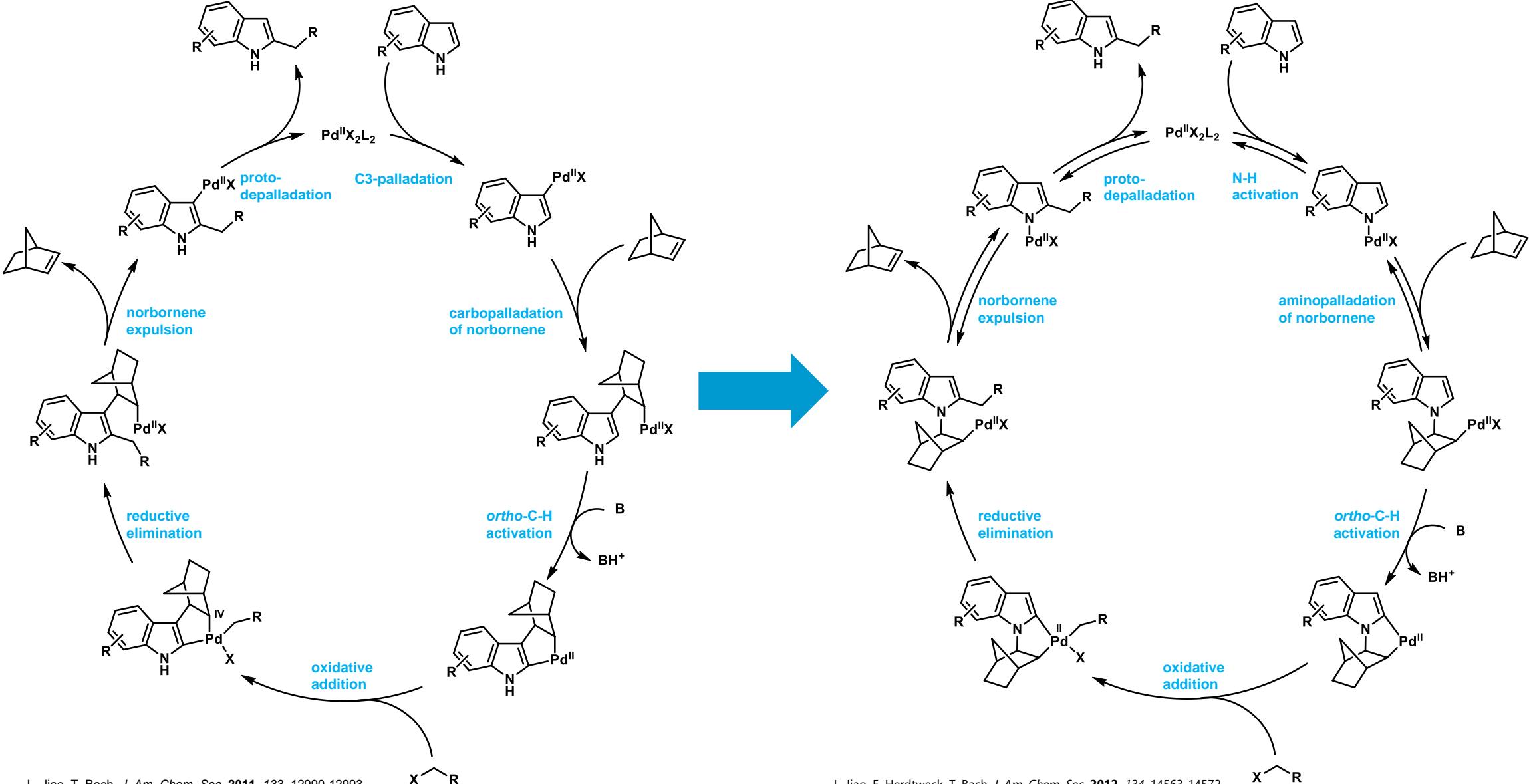
\* Yield for the corresponding 2,3-dialkylated product.

\*\* Dialkylation could be suppressed by employing 2 equiv. indole and 1 equiv. bromide.

## Alkylation of pyrroles



## 2-Alkylation of indoles: revision of the catalytic cycle

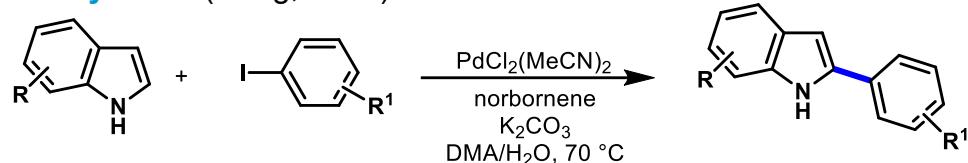


L. Jiao, T. Bach, *J. Am. Chem. Soc.* **2011**, *133*, 12990–12993.

L. Jiao, E. Herdtweck, T. Bach, *J. Am. Chem. Soc.* **2012**, *134*, 14563–14572.

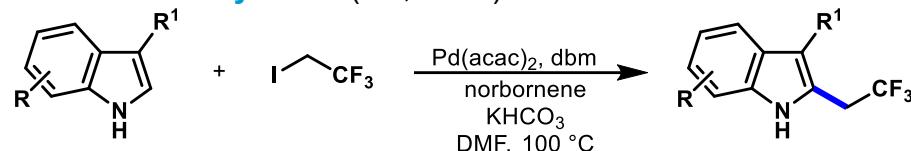
# Advancement in methodology and synthetic applications

## C2-arylation (Jiang, 2017)



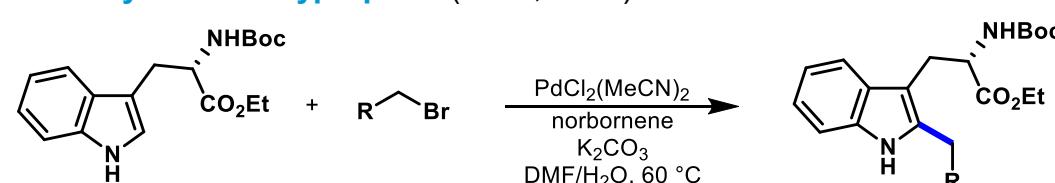
– R = EWG or EDG tolerated, but e<sup>-</sup>-rich indole + e<sup>-</sup>-deficient aryl iodide work best

## C2-trifluoroethylation (Liu, 2018)



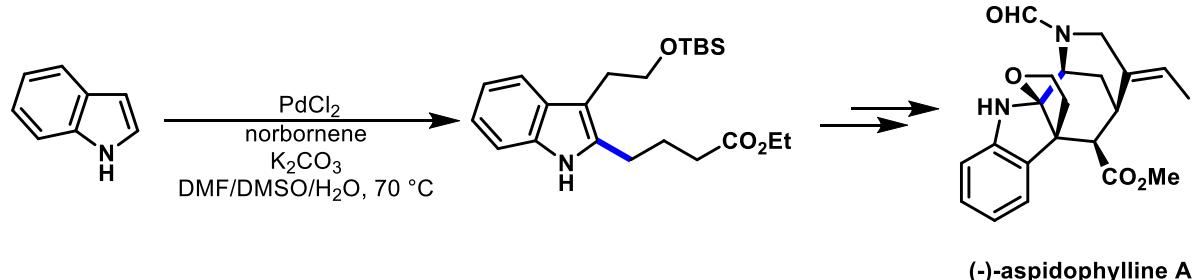
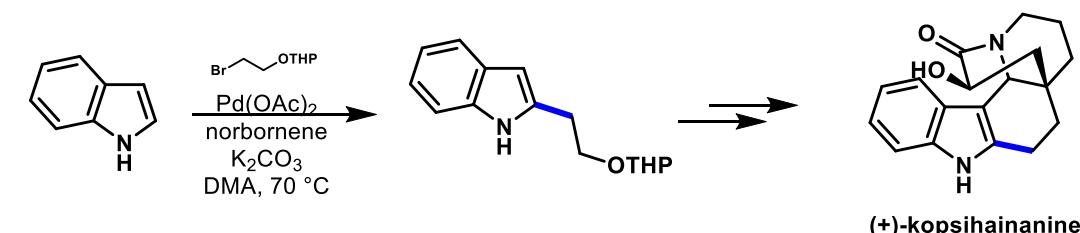
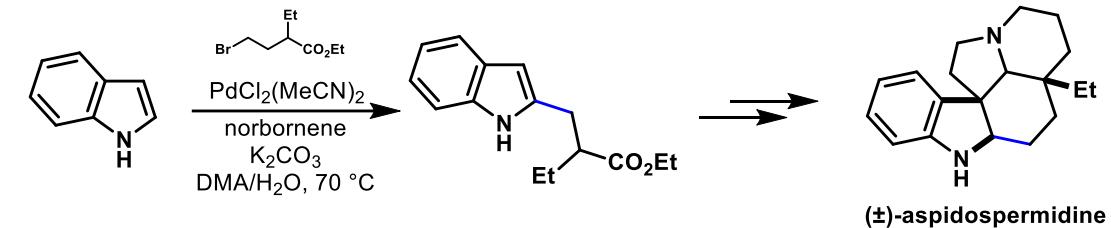
– anionic ligands needed to enhance nucleophilicity of palladacycle

## C-H alkylation of tryptophan (Bach, 2013)



– Boc-protection prevents interference of the NH-position with palladation of indolic nitrogen

## Natural products



Y. Gao, W. Zhu, L. Yin, B. Dong, J. Fu, Z. Ye, F. Xue, C. Jiang, *Tetrahedron Lett.* **2017**, 58, 2213-2216.

H. Zhang, H.-Y. Wang, Y. Luo, C. Chen, Y. Cao, P. Chen, Y.-L. Guo, Y. Lan, G. Liu, *ACS Catal.* **2018**, 8, 2173-2180.

H. K. Potukuchi, T. Bach, *J. Org. Chem.* **2013**, 78, 12263-12267..

L. Jiao, E. Herdtweck, T. Bach, *J. Am. Chem. Soc.* **2012**, 134, 14563-14572.

M. Mizutani, S. Yasuda, C. Mukai, *Chem. Commun.* **2014**, 50, 5782-5785.

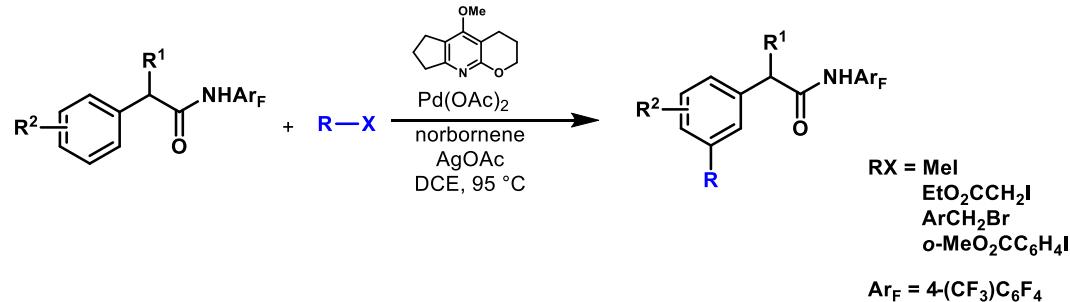
S.-Z. Jiang, X.-Y. Zeng, X. Liang, T. Lei, K. Wei, Y.-R. Yang, *Angew. Chem. Int. Ed.* **2016**, 55, 4044-4048.

# meta-Selective C-H bond activation

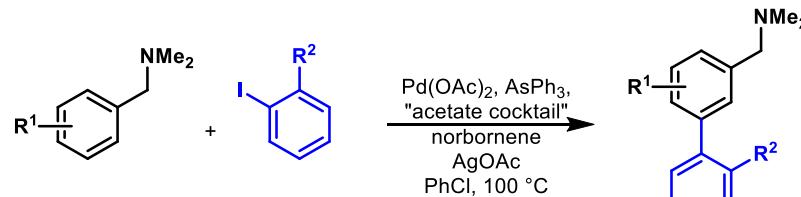
## General idea

- combination of chelation-assisted Pd(II) ortho-C-H activation and Pd(II)/Pd(IV) norbornene chemistry

## meta-Alkylation (Yu, 2015)

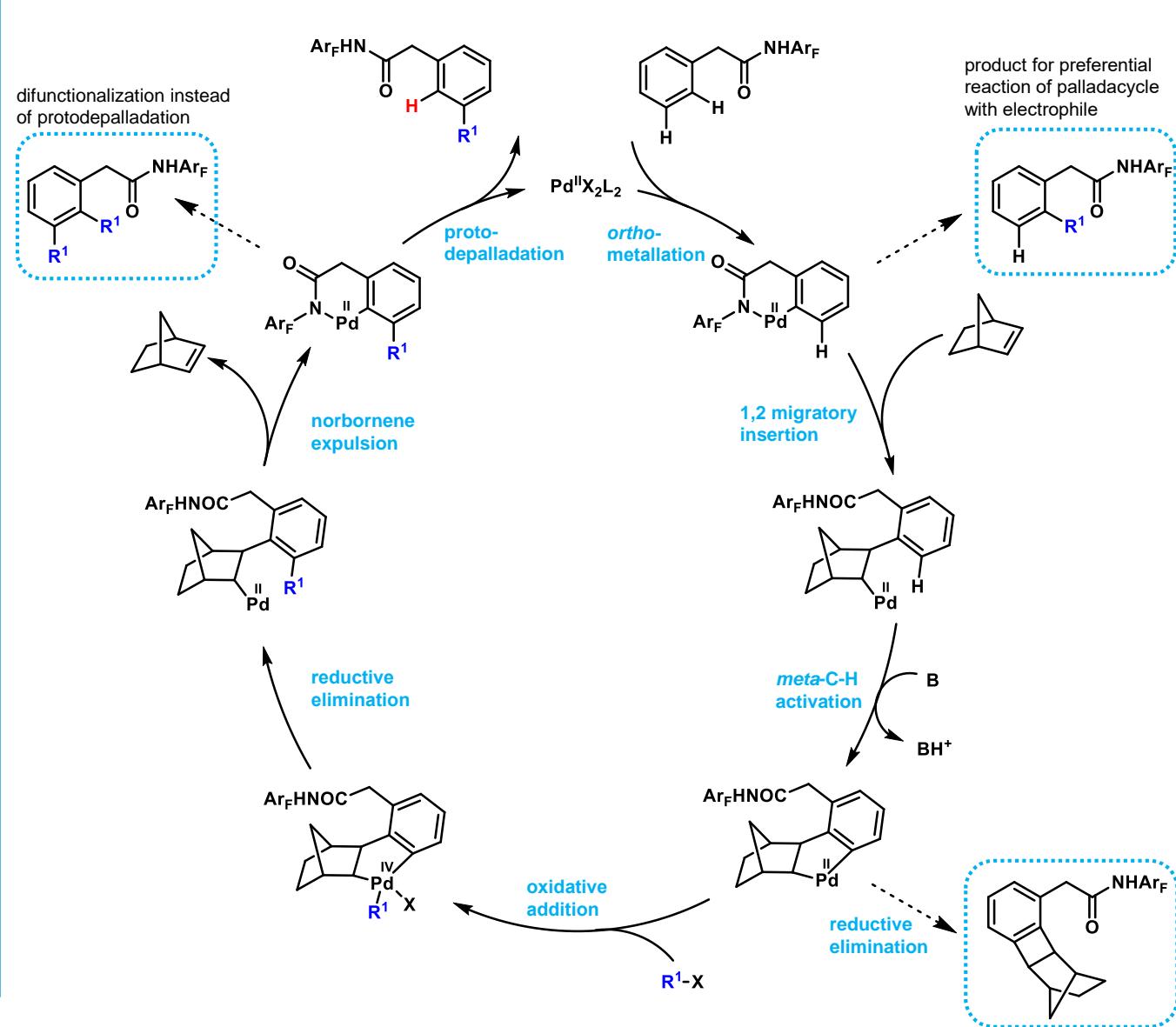


## meta-Arylation (Dong, 2015)

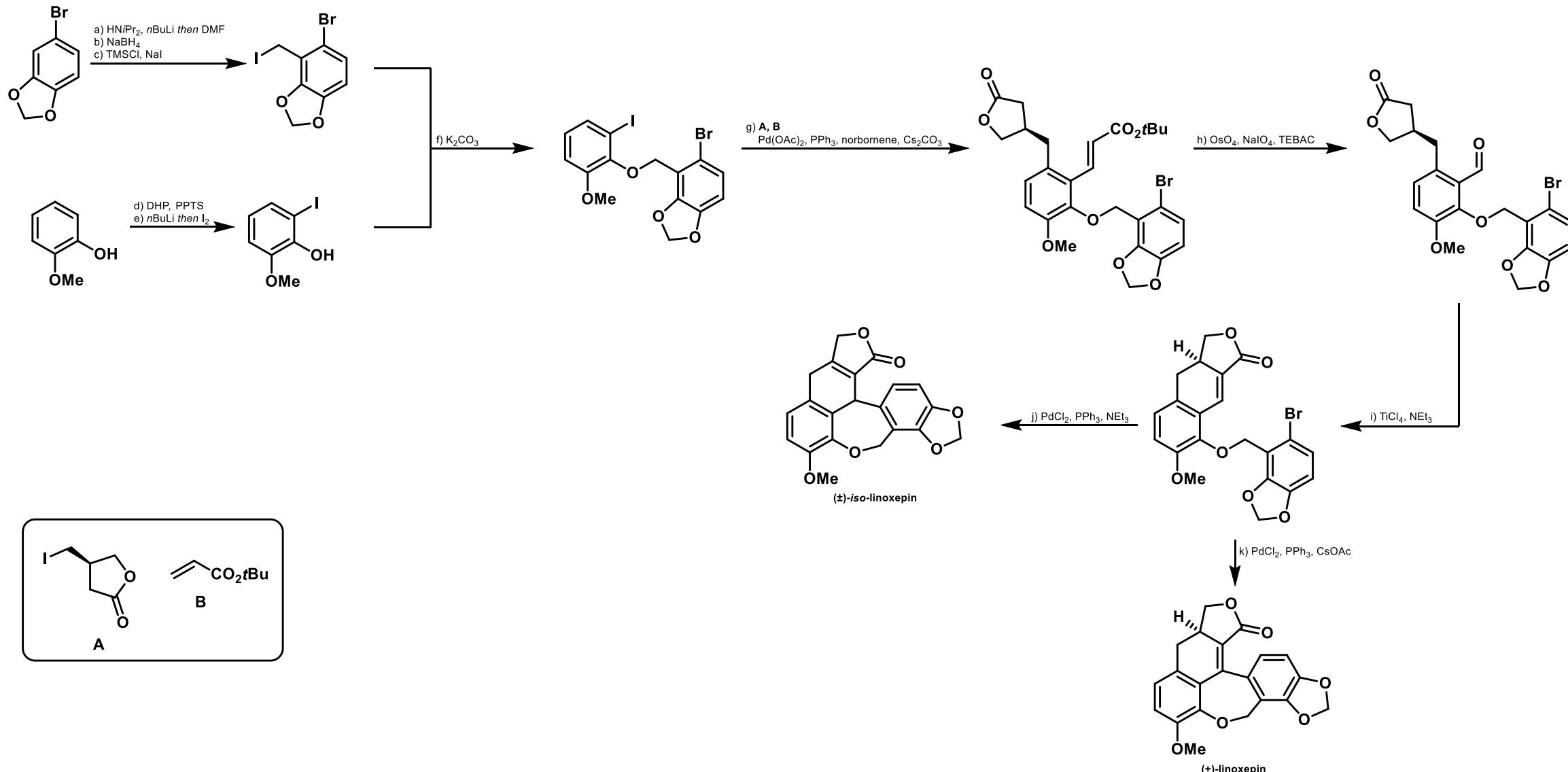


- acetate cocktail...? → LiOAc•2H<sub>2</sub>O, CsOAc, Cu(OAc)<sub>2</sub>•H<sub>2</sub>O

X.-C. Wang, W. Gong, L.-Z. Fang, R.-Y. Zhu, S. Li, K. M. Engle, J.-Q. Yu, *Nature* **2015**, 519, 334-338.  
 Z. Dong, J. Wang, G. Dong, *J. Am. Chem. Soc.* **2015**, 137, 5887-5890.



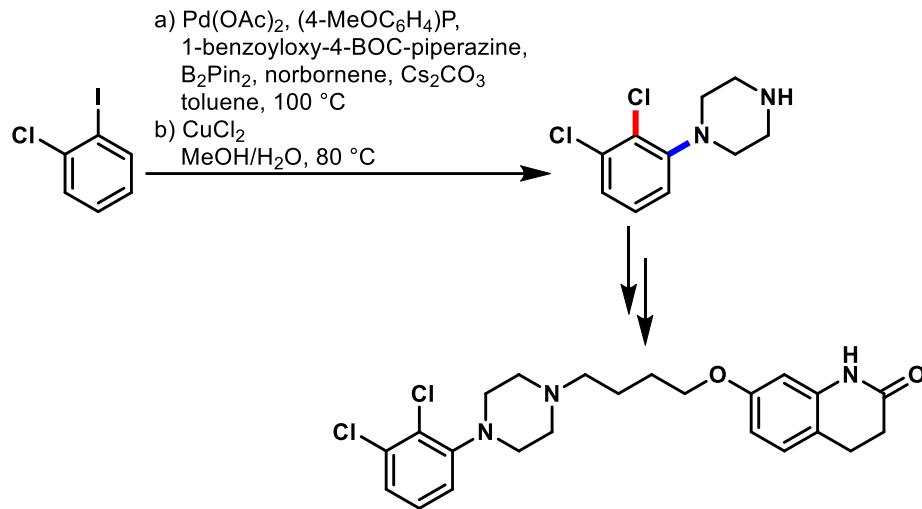
## Applications: Total synthesis of (+)-linoxepin



H. Weinstaabl, M. Suhartono, Z. Qureshi, M. Lautens, *Angew. Chem. Int. Edit.* 2013, 52, 5305-5308.

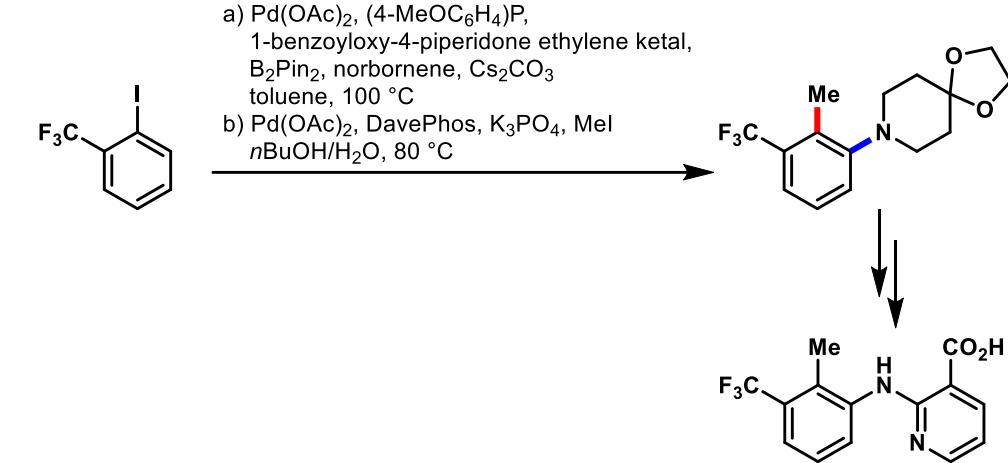
## Applications: The Catellani reaction as key step in total synthesis

### Abilify



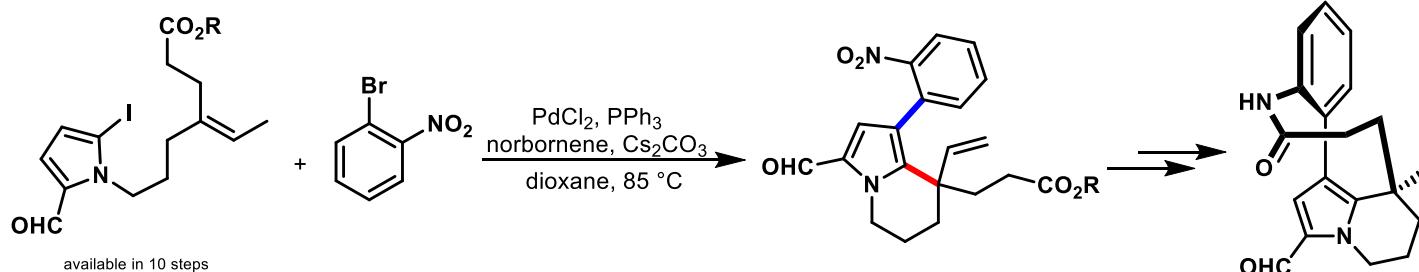
H. Shi, D. J. Babinski, T. Ritter, *J. Am. Chem. Soc.* **2015**, *137*, 3775-3778.

### Flunixin



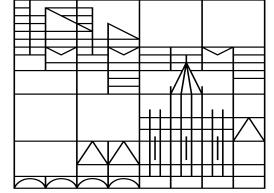
R. Jaouhari, P. Quinn, *Heterocycles* **1994**, *38*, 2243.  
H. Shi, D. J. Babinski, T. Ritter, *J. Am. Chem. Soc.* **2015**, *137*, 3775-3778.

### Rhazinal



## Additional reviews and literature

- Catalytic Multistep Reactions via Palladacycles (M. Catellani, *Synlett* **2003**, 3, 298-313.)
- Palladium-Catalyzed Chemoselective Catellani *ortho*-Arylation Reactions and Their Applications in Natural Products Synthesis (X. Sui, R. Zhu, Z. Gu, *Synlett* **2013**, 24, 2023-2031.)
- Palladium-catalysed norbornene-mediated C–H functionalization of arenes (J. Ye, M. Lautens, *Nature Chemistry* **2015**, 7, 863-870.)
- Pd/Norbornene: A Winning Combination for Selective Aromatic Functionalization via C–H Bond Activation (N. Della Ca', M. Fontana, E. Motti, M. Catellani, *Accounts of Chemical Research* **2016**, 49, 1389-1400.)
- Catellani Reaction: An Enabling Technology for Vicinal Functionalization of Aryl Halides by Palladium(0)/Norbornene Cooperative Catalysis (S. Dong, X. Luan, *Chinese Journal of Chemistry* **2021**, 39, 1690-1705.)
- Martins, A., Mariampillai, B., Lautens, M. (2009). Synthesis in the Key of Catellani: Norbornene-Mediated *ortho* C–H Functionalization. In: Yu, JQ., Shi, Z. (eds) C-H Activation. Topics in Current Chemistry, vol 292. Springer, Berlin, Heidelberg.



**Thanks for your kind  
attention!**

**M. Sc. Lena Emmes**  
PhD candidate · Gaich group

Tel.: +49 (0) 75 31/88 - 2045  
[lena.emmes@uni-konstanz.de](mailto:lena.emmes@uni-konstanz.de)